

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:  ALAIN VALLEE ET AL.  Serial No.: 10/628,290  Filed: July 29, 2003  For: POLYIMIDE-BASED LITHIUM METAL BATTERY	   Art Unit: 1795  Examiner: Parsons, Thomas H.
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**REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)**

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It has been determined that the stated inventorship of the above-identified application is in error. Two additional inventors, namely James K. Pugh and Wade W. Guindy, should also be considered inventors of the subject matter described and claimed in this application. Attached hereto, in accordance with 37 C.F.R. 1.48(a)(2), is a statement from each of Mr. Pugh and Mr. Guindy indicating that the error in inventorship occurred without deceptive intention.

Also, in accordance with 37 C.F.R. §1.48(a)(3), submitted herewith is a newly executed Declaration.

The processing fee, in accordance with 37 C.F.R. §1.48(a)(4), may be charged to Deposit Account Number 50-2613. Any additional fee necessary for consideration of this Request is hereby authorized to be charged to Deposit Account Number 50-2613.

Serial No.: 10/628,290  
Art Unit: 1795

Attorney's Docket No.: SLC-109-US  
Page 2

Finally, in accordance with 37 C.F.R. §1.48 (a)(5), attached is the written consent of assignee Solicore, Inc., along with a Statement Under Rule 3.73(b).

Should there be any question with regard to this Request, a representative of the Patent Office is invited to contact the undersigned.

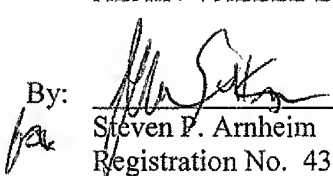
PAUL, HASTINGS, JANOFSKY & WALKER LLP  
875 15th Street, N.W.  
Washington, D.C.  
Tel: 202-551-1700

Respectfully submitted,

ALAIN VALLEE ET AL.

Date: March 18, 2008

By:

  
Steven P. Arnheim  
Registration No. 43,475

Reg # 60,537

Attachments: Statement of James K. Pugh  
Statement of Wade W. Guindy  
Newly-signed Declaration and Power of Attorney  
Written Consent of Assignee and Statement Under Rule 3.73(b)

SPA/dkp  
Customer No. 36183

SLC-109-US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ALAIN VALLEE ET AL.

Serial No.: 10/628,290

Filed: July 29, 2003

For: POLYIMIDE-BASED LITHIUM  
METAL BATTERY

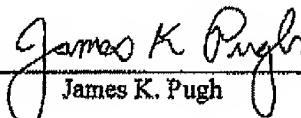
Art Unit: 1795

Examiner: Parsons, Thomas H.

RULE 48(a)(2) STATEMENTCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I confirm that the error in inventorship occurred without deceptive intention on my part.

Date: 03/13/2008  
James K. Pugh

No. 2553 P. 2

Eksigent Tech

Mar. 13. 2008 12:57PM

SLC-109-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: ALAIN VALLEE ET AL. Serial No.: 10/628,290 Filed: July 29, 2003 For: POLYIMIDE-BASED LITHIUM METAL BATTERY	Art Unit: 1795 Examiner: Parsons, Thomas H.
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RULE 48(a)(2) STATEMENT

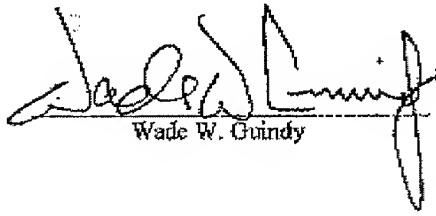
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I confirm that the error in inventorship occurred without deceptive intention on my part.

Date:

3/13/08

  
Wade W. Guindy

Express Mail Label No.

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Docket No.  
SLC-109-US**Declaration and Power of Attorney For Patent Application****English Language Declaration**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**POLYIMIDE-BASED LITHIUM METAL BATTERY**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on July 29, 2003 as United States Application No. or PCT International Application Number 10/628,290 and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)****Priority Claimed**

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____ (Application Serial No.)	_____ (Filing Date)
_____ (Application Serial No.)	_____ (Filing Date)
_____ (Application Serial No.)	_____ (Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

**ALL ATTORNEYS AND PATENT AGENTS  
ASSOCIATED WITH CUSTOMER  
NO. 36183.**

Send Correspondence to: **Steven P. Arnheim, c/o Paul, Hastings, Janofsky & Walker, LLP, 875  
15th Street, N.W., Washington, D.C. 20005.**

Direct Telephone Calls to: *(name and telephone number)*  
**Steven P. Arnheim (202) 551-1820**

Full name of sole or first inventor	
<b>Allain Vallee</b>	
Sole or first inventor's signature	Date
Residence	
Citizenship	
<b>Canadian</b>	
Post Office Address	

Full name of second inventor, if any	
<b>Dany Brouillette</b>	
Second inventor's signature	Date
Residence	
Citizenship	
<b>Canadian</b>	
Post Office Address	

Full name of third inventor, if any

James K. Pugh

03/13/08

Third inventor's signature

Date

Residence

5111 65<sup>th</sup> AVENUE PINELLAS PARK FLORIDA 33781

Citizenship

U.S.

Post Office Address

Full name of fourth inventor, if any

Wade W. Guindy

Fourth inventor's signature

Date

Residence

Citizenship

U.S.

Post Office Address

Full name of fifth inventor, if any

Fifth inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of sixth inventor, if any

Sixth inventor's signature

Date

Residence

Citizenship

Post Office Address



No. 2553 P. 1

Eksigent Tech

Mar. 13. 2008 12:56PM

Full name of third inventor, if any	
James K. Pugh	
Third inventor's signature	Date
Residence	
Citizenship	
U.S.	
Post Office Address	

Full name of fourth inventor, if any	
Wade W. Guindly	
Fourth inventor's signature	Date
Residence	3/13/08
1396 VIA MERANO St, Henderson, NV 89052	
Citizenship	
U.S.	
Post Office Address	

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

SLC-109-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ALAIN VALLEE ET AL.

Serial No.: 10/628,290

Filed: July 29, 2003

For: POLYIMIDE-BASED LITHIUM  
METAL BATTERY

Art Unit: 1795

Examiner: Parsons, Thomas H.

WRITTEN CONSENT OF ASSIGNEE UNDER 37 C.F.R. § 1.48(a)(5)


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, having authority to act on behalf of the assignee Solicore, Inc., give consent to the requested inventorship correction adding the inventors James K. Pugh and Wade W. Guindy.

Date:

March 13, 2008

  
Jarrett Solomon  
Vice President  
Solicore Inc.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Sollicore, Inc.

Application No./Patent No.: 10/628,290 Filed/Issue Date: July 29, 2003

Entitled: Polyimide-Based Lithium Metal Battery

Sollicore, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_%)

In the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: James K. Pugh & Wade W. Guindy To: Sollicore, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Jarreth Solomon

Signature

Printed or Typed Name

Vice President, Sollicore, Inc.

Title

Date

March 13, 2008  
863-904-1486

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.